

Fines and Suspensions (FAS) Codes

Interference Violation (INT)

- INT1 Changing course/causing interference
- INT2 Jostle, strike or hook wheels
- INT3 Cross in front of a field or horses in a reckless manner
- INT4 Interference/ causing another horse to not finish
- INT5 Interference/ causing another horse to make a break
- INT6 Crowding/putting another wheel under another horse or driver
- INT7 Carry a horse out
- INT8 Take up abruptly/sit down in front of horses causing confusion among trailing horses
- INT9 Impeding the progress of another horse
- INT10 Interference causing an accident
- INT99 Other

Non-Interference Violation (NRC)

- NRC1 Failure to comply with the breaking rule
- NRC2 Loud shouting during a race
- NRC3 Failure to file an objection
- NRC4 Filing a frivolous objection
- NRC5 Kicking a horse
- NRC6 Failure to keep both feet in the stirrups
- NRC7 Racing a horse which is improperly equipped
- NRC8 Racing a horse in an unfit condition
- NRC9 Failure to go the course
- NRC10 Failure to wear/secure helmet
- NRC99 Other

Use of Whip (WHP)

- WHP1 Excessive or indiscriminate use of whip
- WHP2 Using the whip in unconventional manner
- WHP3 Whipping under the arch
- WHP4 Use of a goading device
- WHP5 Brutal use of whip
- WHP6 Inappropriate use of the whip
- WHP7 Failure to keep a line in each hand
- WHP8 Length of whip exceeds length allowed
- WHP9 Whipping out of contention
- WHP10 Failure to keep both lines taut
- WHP99 Other

Starting Violation (STR)

- STR1 Delaying the start
- STR2 Coming to the gate out of position
- STR3 Crossing over before the start
- STR4 Interference before the start
- STR5 Scoring ahead of the gate
- STR6 Failure to come up/stay in position
- STR7 Failure to obey the starter's instructions
- STR8 Failure to participate in the post parade
- STR9 Delaying the post parade
- STR10 Interference prior to the start of the race
- STR11 Going through post while warming up
- STR12 Failure to control horse
- STR99 Other

Driving in a Careless Manner (CAR)

- CAR1 Let a horse pass inside needlessly
- CAR2 Help another horse improve its position
- CAR3 Failure to keep hole closed
- CAR4 Driving in a half-in and half-out position
- CAR5 Failure to set and maintain a proper pace
- CAR6 Slow quarter/half
- CAR7 Inside the euro rail / pylon violation
- CAR8 Passing lane violation
- CAR9 Violation of open stretch rule
- CAR10 Failure to maintain reasonable control of the horse
- CAR11 Laying back in sulky
- CAR12 Turn the horse abruptly after the finish of the race in order to return to the paddock or barn area.
- CAR13 Failure to make an effort to advance when pulling to the outside.
- CAR99 Other

Paddock Violation (PAD)

- PAD1 Failure to obey the instruction of the paddock judge
- PAD2 Late to the paddock
- PAD3 Leaving paddock early
- PAD4 Horse late to the paddock
- PAD5 Unauthorized admission to the paddock
- PAD6 Leaving horse unattended
- PAD7 Late to the Lasix/ detention barn
- PAD8 Failure to report equipment change
- PAD9 Failure to have horse properly equipped

- PAD10 Warming up before pre-race blood test
- PAD11 Failure to designate responsible person/trainer in paddock
- PAD99 Other

Inappropriate Conduct (CON)

- CON1 Failure to obey the instructions of a racing official
- CON2 Offensive/profane language
- CON3 Disturbing the peace
- CON4 Fighting
- CON5 Warming up horse without silks
- CON6 Failure to be properly dressed
- CON7 Appearing in the betting or bar wearing silks
- CON8 Talking on the race track
- CON9 Smoking
- CON10 Riding two on a sulky
- CON11 Parking violation/loose dog/jumping fence
- CON12 Improper conduct or language to an official
- CON13 Assault and battery upon another participant licensee
- CON14 Participating without a valid license
- CON15 Failure to appear for a hearing
- CON16 Starting a horse without an electronic eligibility
- CON17 No Vaccination / Coggins / Health Certificate
- CON18 Inaccurate program information
- CON99 Other

Declaration to Start Violation (DEC)

- DEC1 Failure to provide adequate or proper declaration information
- DEC2 Unauthorized trainer change
- DEC3 Late driver change
- DEC4 Failure to drive when programmed
- DEC5 Failure to honor declaration
- DEC6 Failure to pay starting fees
- DEC7 Unauthorized withdrawal from race
- DEC99 Other

Claiming Violation (CLM)

- CLM1 False claim
- CLM2 Failure to file affidavit of bona fide claim
- CLM3 Claiming of own horse
- CLM4 Claiming of more than one horse out of race
- CLM5 Claiming horse for another person
- CLM6 Starting a mare known to be in foal in a claiming race

Claiming...continued (CLM)

- CLM7 Refusal to deliver a claimed horse
- CLM8 Selling or transferring claimed horse within the specified number of days
- CLM9 Returning claimed horse to previous owner/trainer within specific time
- CLM10 Declaring horse without proper claiming authorization or registration on file
- CLM11 Making a fraudulent declaration into claiming race
- CLM12 Making a fraudulent claim
- CLM13 Unauthorized start in another race
- CLM14 Removing halter / shoes
- CLM99 Other

Integrity of Racing Violation (IRV)

- IRV1 Unsatisfactory drive -Lack of effort
- IRV2 Unsatisfactory drive - Carelessness
- IRV3 Fraud
- IRV4 Fraudulent acts relative to racing
- IRV5 Conspiracy
- IRV6 Conduct detrimental to the best interest of horse racing
- IRV7 Improper bet
- IRV8 Refusal of authorities to conduct a search of barn area or vehicle
- IRV9 Refusal to cooperate with authorities during an investigation
- IRV10 Any act of cruelty to a horse
- IRV11 Unauthorized change of trainer
- IRV99 Other

Licensing Violation (CMR)

- CMR1 Failure to obtain proper license
- CMR2 Failure to comply with workmen's compensation rules
- CMR3 Failure to pay fine(s)
- CMR4 Failure to submit fingerprints.
- CMR99 Other

Licensing Misconduct (LMC)

- LMC1 Falsification of license application
- LMC2 Judgment
- LMC3 Bankruptcy
- LMC4 Bad check
- LMC5 Unpaid obligation
- LMC6 Denial of license
- LMC99 Other

Positive Test - Horse (PTH)

- PTH1 Positive test- post race
- PTH2 Positive test- pre race
- PTH3 Refusal to submit horse to urine, saliva, or blood test
- PTH4 Possession of hypodermic needles, syringes and/or injectable and/or other drugs

Positive Test - Horse continued (PTH)

- PTH5 Medication procedure violation
- PTH6 Low or excess level of authorized medication (Bute/Lasix)
- PTH7 Out of competition testing
- PTH99 Other

Positive Test - Human (PTM)

- PTM1 Failure to pass the breath analyzer test
- PTM2 Refusal to submit to the breath analyzer test
- PTM3 Positive test - illegal substance test
- PTM4 Refusal to submit to urine test
- PTM5 Intoxication / Impaired / Unfit
- PTM6 Under the influence of drugs
- PTM7 Late for the breath analyzer test
- PTM99 Other

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